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PATENT

Case Docket No. YAMAH6.007A
Date: January 28, 2002

2654#3
BT
03-2802

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Takashi Mizokawa
Appl. No. : 09/922,760
Filed : August 6, 2001
For : PSEUDO-EMOTION
SOUND EXPRESSION
SYSTEM
Examiner : Unknown
Group Art Unit : Unknown

I hereby certify that this correspondence and all
marked attachments are being deposited with the
United States Postal Service as first class mail in an
envelope addressed to: Assistant Commissioner for
Patents, Washington, D.C. 20231, on

January 28, 2002
(Date)
William H. Shreve
William H. Shreve, Reg. No. 35,678

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231
ATTENTION: APPLICATION BRANCH

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Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with six (6) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

William H. Shreve
William H. Shreve
Registration No. 35,678
Attorney of Record

YAMAH6.007A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Takashi Mizokawa) Group Art Unit Unknown
App. No. : 09/922,760)
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For : PSEUDO-EMOTION SOUND)
EXPRESSSION SYSTEM)
Examiner : Unknown)

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

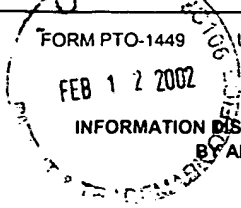
Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: January 28, 2002

By: William H. Shreve
William H. Shreve
Registration No. 35,678
Attorney of Record
620 Newport Center Drive
Sixteenth Floor
Newport Beach, CA 92660
(949) 760-0404

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|---|---------------------------------|-------------------------------|
|  <p>FORM PTO-1449</p> <p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(USE SEVERAL SHEETS IF NECESSARY)</p> | ATTY. DOCKET NO. YAMAH6.007A | APPLICATION NO. 09/922,760 |
| | APPLICANT Takashi Mizokawa | |
| | FILING DATE August 6, 2001 | GROUP Unknown |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
|---------------------|----|-----------------|----------|----------------|-------|----------|---------------------------------|
| | 1. | 5,559,927 | 09/24/96 | ...fred Clynes | | | |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---------------------|----|-----------------|----------|--|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | 2. | EP 0 730 261 A2 | 09/04/96 | Europe | | | | |
| | 3. | WO 97/41936 | 11/13/97 | World Intellectual Property Organization | | | | |
| | 4. | EP 1 107 227 A2 | 06/13/01 | Europe | | | | |
| | 5. | EP 1 113 417 A2 | 07/04/01 | Europe | | | | |
| | | | | | | | | |
| | | | | | | | | |

| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) | |
|---------------------|--|--|
| | 6. | Tsuyoshi Moriyama, et al., Emotion Recognition and Synthesis System on Speech, Proceeding of the International Conference on Multimedia Computing and Systems, Los Alamitos, CA, USA, vol. 1, June 7, 1999, pages 840-844. |
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|---|-----------------|
| EXAMINER | DATE CONSIDERED |
| <p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p> | |